

# HODGE-TATE AND DE RHAM REPRESENTATIONS IN THE IMPERFECT RESIDUE FIELD CASE

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**Résumé.** Soit  $K$  un corps local  $p$ -adique de corps résiduel  $k$  tel que  $[k : k^p] = p^e < +\infty$  et soit  $V$  une représentation  $p$ -adique de  $\text{Gal}(\overline{K}/K)$ . Nous utilisons la théorie des modules différentiels  $p$ -adiques pour montrer que  $V$  est une représentation de Hodge-Tate (resp. de Rham) de  $\text{Gal}(\overline{K}/K)$  si et seulement si  $V$  est une représentation de Hodge-Tate (resp. de Rham) de  $\text{Gal}(\overline{K}^{\text{pf}}/K^{\text{pf}})$  où  $K^{\text{pf}}/K$  est un certain corps local  $p$ -adique de corps résiduel le plus petit corps parfait  $k^{\text{pf}}$  contenant  $k$ .

**Abstract.** Let  $K$  be a  $p$ -adic local field with residue field  $k$  such that  $[k : k^p] = p^e < +\infty$  and  $V$  be a  $p$ -adic representation of  $\text{Gal}(\overline{K}/K)$ . Then, by using the theory of  $p$ -adic differential modules, we show that  $V$  is a Hodge-Tate (resp. de Rham) representation of  $\text{Gal}(\overline{K}/K)$  if and only if  $V$  is a Hodge-Tate (resp. de Rham) representation of  $\text{Gal}(\overline{K}^{\text{pf}}/K^{\text{pf}})$  where  $K^{\text{pf}}/K$  is a certain  $p$ -adic local field with residue field the smallest perfect field  $k^{\text{pf}}$  containing  $k$ .

## 1. INTRODUCTION

Let  $K$  be a complete discrete valuation field of characteristic 0 with residue field  $k$  of characteristic  $p > 0$  such that  $[k : k^p] = p^e < +\infty$ . Choose an algebraic closure  $\overline{K}$  of  $K$  and put  $G_K = \text{Gal}(\overline{K}/K)$ . By a  $p$ -adic representation of  $G_K$ , we mean a finite dimensional vector space  $V$  over  $\mathbb{Q}_p$  endowed with a continuous action of  $G_K$ . In the case  $e = 0$  (i.e.  $k$  is perfect), following Fontaine, we can classify  $p$ -adic representations of  $G_K$  by using the  $p$ -adic periods rings  $B_{\text{HT}}$ ,  $B_{\text{dR}}$ ,  $B_{\text{st}}$  and  $B_{\text{cris}}$  (Hodge-Tate, de Rham, semi-stable and crystalline representations). In the general case (i.e.  $k$  is not necessarily perfect), Hyodo constructed the imperfect residue field version of the ring  $B_{\text{HT}}$  and Tsuzuki and several authors constructed that of the ring  $B_{\text{dR}}$ . By using these rings, we can define the imperfect residue field version of Hodge-Tate and de Rham representations of  $G_K$  in the evident way ([Br2],[H],[K1],[K2],[Tz]).

Now, we shall state the main result of this article. Let us fix some notations. Fix a lifting  $(b_i)_{1 \leq i \leq e}$  of a  $p$ -basis of  $k$  in  $\mathcal{O}_K$  (the ring of integers of  $K$ ) and for

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each  $m \geq 1$ , fix a  $p^m$ -th root  $b_i^{1/p^m}$  of  $b_i$  in  $\overline{K}$  satisfying  $(b_i^{1/p^{m+1}})^p = b_i^{1/p^m}$ . Put  $K^{(\text{pf})} = \bigcup_{m \geq 1} K(b_i^{1/p^m}, 1 \leq i \leq e)$  and  $K^{\text{pf}}$  = the  $p$ -adic completion of  $K^{(\text{pf})}$ . These fields depend on the choice of a lifting of a  $p$ -basis of  $k$  in  $\mathcal{O}_K$ . Since  $K^{\text{pf}}$  becomes a complete discrete valuation field with perfect residue field, we can apply theories in the perfect residue field case to  $p$ -adic representations of  $G_{K^{\text{pf}}} = \text{Gal}(\overline{K^{\text{pf}}}/K^{\text{pf}})$  where we choose an algebraic closure  $\overline{K^{\text{pf}}}$  of  $K^{\text{pf}}$  containing  $\overline{K}$ . Note that, if  $V$  is a  $p$ -adic representation of  $G_K$ , it can be also regarded as a  $p$ -adic representation of  $G_{K^{\text{pf}}}$  (see Section 2.2 for details). Our main result is the following.

**Theorem 1.1.** *Let  $K$  be a complete discrete valuation field of characteristic 0 with residue field  $k$  of characteristic  $p > 0$  such that  $[k : k^p] = p^e < +\infty$  and  $V$  be a  $p$ -adic representation of  $G_K$ . Let  $K^{\text{pf}}$  be the field extension of  $K$  defined as above. Then, we have the following equivalences*

- (1)  *$V$  is a Hodge-Tate representation of  $G_K$  if and only if  $V$  is a Hodge-Tate representation of  $G_{K^{\text{pf}}}$ ,*
- (2)  *$V$  is a de Rham representation of  $G_K$  if and only if  $V$  is a de Rham representation of  $G_{K^{\text{pf}}}$ .*

In the case of Hodge-Tate representations, Tsuji [Tj] had proved a more refined theorem based on this article. This paper is organized as follows. In Section 2, we shall review the definitions and basic known facts on Hodge-Tate and de Rham representations, first in the perfect residue field case and then in the imperfect residue field case. In Section 3, we shall review the theory of  $p$ -adic differential modules which play an central role in this article. In Section 4, by using the theory of  $p$ -adic differential modules, we shall prove the main theorem, first for Hodge-Tate representations and then for de Rham representations.

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## 2. PRELIMINARIES ON HODGE-TATE AND DE RHAM REPRESENTATIONS

**2.1. Hodge-Tate and de Rham representations in the perfect residue field case.** (See [F1] and [F2] for details.) Let  $K$  be a complete discrete valuation field of characteristic 0 with perfect residue field  $k$  of characteristic  $p > 0$ . Choose an algebraic closure  $\overline{K}$  of  $K$  and consider its  $p$ -adic completion  $\mathbb{C}_p$ . Put

$$\widetilde{\mathbb{E}} = \varprojlim_{x \rightarrow x^p} \mathbb{C}_p = \{(x^{(0)}, x^{(1)}, \dots) \mid (x^{(i+1)})^p = x^{(i)}, x^{(i)} \in \mathbb{C}_p\}$$

and let  $\tilde{\mathbb{E}}^+$  denote the set of  $x = (x^{(i)}) \in \tilde{\mathbb{E}}$  such that  $x^{(0)} \in \mathcal{O}_{\mathbb{C}_p}$  where  $\mathcal{O}_{\mathbb{C}_p}$  denotes the ring of integers of  $\mathbb{C}_p$ . For two elements  $x = (x^{(i)})$  and  $y = (y^{(i)})$  of  $\tilde{\mathbb{E}}$ , their sum and product are defined by  $(x+y)^{(i)} = \lim_{j \rightarrow +\infty} (x^{(i+j)} + y^{(i+j)})p^j$  and  $(xy)^{(i)} = x^{(i)}y^{(i)}$ . These sum and product make  $\tilde{\mathbb{E}}$  a perfect field of characteristic  $p > 0$  ( $\tilde{\mathbb{E}}^+$  is a subring of  $\tilde{\mathbb{E}}$ ). Let  $\epsilon = (\epsilon^{(n)})$  be an element of  $\tilde{\mathbb{E}}$  such that  $\epsilon^{(0)} = 1$  and  $\epsilon^{(1)} \neq 1$ . Then,  $\tilde{\mathbb{E}}$  is the completion of an algebraic closure of  $k((\epsilon - 1))$  for the valuation defined by  $v_{\mathbb{E}}(x) = v_p(x^{(0)})$  where  $v_p$  denotes the  $p$ -adic valuation of  $\mathbb{C}_p$  normalized by  $v_p(p) = 1$ . The field  $\tilde{\mathbb{E}}$  is equipped with a continuous action of the Galois group  $G_K = \text{Gal}(\bar{K}/K)$  with respect to the topology defined by the valuation  $v_{\mathbb{E}}$ . Put  $\tilde{\mathbb{A}}^+ = W(\tilde{\mathbb{E}}^+)$  (the ring of Witt vectors with coefficients in  $\tilde{\mathbb{E}}^+$ ) and  $\tilde{\mathbb{B}}^+ = \tilde{\mathbb{A}}^+[1/p] = \{\sum_{k \gg -\infty} p^k [x_k] \mid x_k \in \tilde{\mathbb{E}}^+\}$  where  $[*]$  denotes the Teichmüller lift of  $*$  in  $\tilde{\mathbb{E}}^+$ . This ring  $\tilde{\mathbb{B}}^+$  is equipped with a surjective homomorphism

$$\theta : \tilde{\mathbb{B}}^+ \rightarrow \mathbb{C}_p : \sum p^k [x_k] \mapsto \sum p^k x_k^{(0)}.$$

If  $\tilde{p} = (p^{(n)})$  denotes an element of  $\tilde{\mathbb{E}}^+$  such that  $p^{(0)} = p$ , we can show that  $\text{Ker}(\theta)$  is the principal ideal generated by  $\omega = [\tilde{p}] - p$ . The ring  $B_{\text{dR},K}^+$  is defined to be the  $\text{Ker}(\theta)$ -adic completion of  $\tilde{\mathbb{B}}^+$

$$B_{\text{dR},K}^+ = \varprojlim_{n \geq 0} \tilde{\mathbb{B}}^+ / (\text{Ker}(\theta)^n).$$

This is a discrete valuation ring and  $t = \log([\epsilon])$  which converges in  $B_{\text{dR},K}^+$  is a generator of the maximal ideal. Put  $B_{\text{dR},K} = B_{\text{dR},K}^+[1/t]$ . This ring  $B_{\text{dR},K}$  becomes a field and is equipped with an action of the Galois group  $G_K$  and a filtration defined by  $\text{Fil}^i B_{\text{dR},K} = t^i B_{\text{dR},K}^+$  ( $i \in \mathbb{Z}$ ). Then,  $(B_{\text{dR},K})^{G_K}$  is canonically isomorphic to  $K$ . Thus, for a  $p$ -adic representation  $V$  of  $G_K$ ,  $D_{\text{dR},K}(V) = (B_{\text{dR},K} \otimes_{\mathbb{Q}_p} V)^{G_K}$  is naturally a  $K$ -vector space. We say that a  $p$ -adic representation  $V$  of  $G_K$  is a de Rham representation of  $G_K$  if we have

$$\dim_{\mathbb{Q}_p} V = \dim_K D_{\text{dR},K}(V) \quad (\text{we always have } \dim_{\mathbb{Q}_p} V \geq \dim_K D_{\text{dR},K}(V)).$$

Furthermore, we say that a  $p$ -adic representation  $V$  of  $G_K$  is a potentially de Rham representation of  $G_K$  if there exists a finite field extension  $L/K$  in  $\bar{K}$  such that  $V$  is a de Rham representation of  $G_L$ . It is known that a potentially de Rham representation  $V$  of  $G_K$  is a de Rham representation of  $G_K$  (see [F2], 3.9).

Define  $B_{\text{HT},K}$  to be the associated graded algebra to the filtration  $\text{Fil}^i B_{\text{dR},K}$ . The quotient  $\text{gr}^i B_{\text{HT},K} = \text{Fil}^i B_{\text{dR},K} / \text{Fil}^{i+1} B_{\text{dR},K}$  ( $i \in \mathbb{Z}$ ) is a one-dimensional  $\mathbb{C}_p$ -vector space spanned by the image of  $t^i$ . Thus, we obtain the presentation

$$B_{\text{HT},K} = \bigoplus_{i \in \mathbb{Z}} \mathbb{C}_p(i)$$

where  $\mathbb{C}_p(i) = \mathbb{C}_p \otimes \mathbb{Z}_p(i)$  is the Tate twist. Then,  $(B_{\text{HT},K})^{G_K}$  is canonically isomorphic to  $K$ . Thus, for a  $p$ -adic representation  $V$  of  $G_K$ ,  $D_{\text{HT},K}(V) =$

$(B_{\text{HT},K} \otimes_{\mathbb{Q}_p} V)^{G_K}$  is naturally a  $K$ -vector space. We say that a  $p$ -adic representation  $V$  of  $G_K$  is a Hodge-Tate representation of  $G_K$  if we have

$$\dim_{\mathbb{Q}_p} V = \dim_K D_{\text{HT},K}(V) \quad (\text{we always have } \dim_{\mathbb{Q}_p} V \geq \dim_K D_{\text{HT},K}(V)).$$

Furthermore, we say that a  $p$ -adic representation  $V$  of  $G_K$  is a potentially Hodge-Tate representation of  $G_K$  if there exists a finite field extension  $L/K$  in  $\overline{K}$  such that  $V$  is a Hodge-Tate representation of  $G_L$ . It is known that a potentially Hodge-Tate representation  $V$  of  $G_K$  is a Hodge-Tate representation of  $G_K$  (see [F2], 3.9). Since we have  $\text{gr} B_{\text{dR},K} \simeq \bigoplus_{i \in \mathbb{Z}} \mathbb{C}_p(i)$ , if  $V$  is a de Rham representation of  $G_K$ , there exists a  $G_K$ -equivariant isomorphism  $\mathbb{C}_p \otimes_{\mathbb{Q}_p} V \simeq \bigoplus_{j=1}^{d=\dim_{\mathbb{Q}_p} V} \mathbb{C}_p(n_j)$  ( $n_j \in \mathbb{Z}$ ). Thus, it follows that a de Rham representation  $V$  of  $G_K$  is a Hodge-Tate representation of  $G_K$ .

**2.2. Hodge-Tate and de Rham representations in the imperfect residue field case.** Let  $K$  be a complete discrete valuation field of characteristic 0 with residue field  $k$  of characteristic  $p > 0$  such that  $[k : k^p] = p^e < +\infty$ . Choose an algebraic closure  $\overline{K}$  of  $K$  and put  $G_K = \text{Gal}(\overline{K}/K)$ . As in Introduction, fix a lifting  $(b_i)_{1 \leq i \leq e}$  of a  $p$ -basis of  $k$  in  $\mathcal{O}_K$  (the ring of integers of  $K$ ) and for each  $m \geq 1$ , fix a  $p^m$ -th root  $b_i^{1/p^m}$  of  $b_i$  in  $\overline{K}$  satisfying  $(b_i^{1/p^{m+1}})^p = b_i^{1/p^m}$ . Put

$$K^{(\text{pf})} = \bigcup_{m \geq 0} K(b_i^{1/p^m}, 1 \leq i \leq e) \quad \text{and} \quad K^{\text{pf}} = \text{the } p\text{-adic completion of } K^{(\text{pf})}.$$

These fields depend on the choice of a lifting of a  $p$ -basis of  $k$  in  $\mathcal{O}_K$ . Since  $K^{(\text{pf})}$  is a Henselian discrete valuation field, we have an isomorphism  $G_{K^{\text{pf}}} = \text{Gal}(\overline{K^{\text{pf}}}/K^{\text{pf}}) \simeq G_{K^{(\text{pf})}} = \text{Gal}(\overline{K}/K^{(\text{pf})}) \subset G_K$  where we choose an algebraic closure  $\overline{K^{\text{pf}}}$  of  $K^{\text{pf}}$  containing  $\overline{K}$ . With this isomorphism, we identify  $G_{K^{\text{pf}}}$  with a subgroup of  $G_K$ . We have a bijective map from the set of finite extensions of  $K^{(\text{pf})}$  contained in  $\overline{K}$  to the set of finite extensions of  $K^{\text{pf}}$  contained in  $\overline{K^{\text{pf}}}$  defined by  $L \rightarrow LK^{\text{pf}}$ . Furthermore,  $LK^{\text{pf}}$  is the  $p$ -adic completion of  $L$ . Hence, we have an isomorphism of rings

$$\mathcal{O}_{\overline{K}}/p^n \mathcal{O}_{\overline{K}} \simeq \mathcal{O}_{\overline{K^{\text{pf}}}}/p^n \mathcal{O}_{\overline{K^{\text{pf}}}}$$

where  $\mathcal{O}_{\overline{K}}$  and  $\mathcal{O}_{\overline{K^{\text{pf}}}}$  denote the rings of integers of  $\overline{K}$  and  $\overline{K^{\text{pf}}}$ . Thus, the  $p$ -adic completion of  $\overline{K}$  is isomorphic to the  $p$ -adic completion of  $\overline{K^{\text{pf}}}$ , which we will write  $\mathbb{C}_p$ . As in Subsection 2.1, construct the rings  $\tilde{\mathbb{E}}^+$  and  $\tilde{\mathbb{A}}^+ = W(\tilde{\mathbb{E}}^+)$  from this  $\mathbb{C}_p$ . Let  $k^{\text{pf}}$  denote the perfect residue field of  $K^{\text{pf}}$  and put  $\mathcal{O}_{K_0} = \mathcal{O}_K \cap W(k^{\text{pf}})$ . Let  $\alpha : \mathcal{O}_K \otimes_{\mathcal{O}_{K_0}} \tilde{\mathbb{A}}^+ \rightarrow \mathcal{O}_{\overline{K}}/p \mathcal{O}_{\overline{K}}$  be the natural surjection and define  $\tilde{\mathbb{A}}_{(K)}^+$  to be  $\tilde{\mathbb{A}}_{(K)}^+ = \varprojlim_{n \geq 0} (\mathcal{O}_K \otimes_{\mathcal{O}_{K_0}} \tilde{\mathbb{A}}^+) / (\text{Ker}(\alpha))^n$ . Let  $\theta_K : \tilde{\mathbb{A}}_{(K)}^+ \otimes_{\mathbb{Z}_p} \mathbb{Q}_p \rightarrow \mathbb{C}_p$  be the natural extension of  $\theta : \tilde{\mathbb{A}}^+[1/p] \rightarrow \mathbb{C}_p$ . Define  $B_{\text{dR},K}^+$  to be the  $\text{Ker}(\theta_K)$ -adic completion of  $\tilde{\mathbb{A}}_{(K)}^+ \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$

$$B_{\text{dR},K}^+ = \varprojlim_{n \geq 0} (\tilde{\mathbb{A}}_{(K)}^+ \otimes_{\mathbb{Z}_p} \mathbb{Q}_p) / (\text{Ker}(\theta_K))^n.$$

This is a  $K$ -algebra equipped with an action of the Galois group  $G_K$ . Let  $\tilde{b}_i$  denote  $(b_i^{(n)}) \in \tilde{\mathbb{E}}^+$  such that  $b_i^{(0)} = b_i$  and then the series which defines  $\log([\tilde{b}_i]/b_i)$

converges to an element  $t_i$  in  $B_{\mathrm{dR},K}^+$ . Then, the ring  $B_{\mathrm{dR},K}^+$  becomes a local ring with the maximal ideal  $m_{\mathrm{dR}} = (t, t_1, \dots, t_e)$ . Define a filtration on  $B_{\mathrm{dR},K}^+$  by  $\mathrm{fil}^i B_{\mathrm{dR},K}^+ = m_{\mathrm{dR}}^i$ . Then, the homomorphism

$$f : B_{\mathrm{dR},K^{\mathrm{pf}}}^+[[t_1, \dots, t_e]] \rightarrow B_{\mathrm{dR},K}^+$$

is an isomorphism of filtered algebras (see [Br2], Proposition 2.9). From this isomorphism, it follows easily that

$$i : B_{\mathrm{dR},K^{\mathrm{pf}}}^+ \hookrightarrow B_{\mathrm{dR},K}^+ \quad \text{and} \quad p : B_{\mathrm{dR},K}^+ \twoheadrightarrow B_{\mathrm{dR},K^{\mathrm{pf}}}^+ : t_i \mapsto 0$$

are  $G_{K^{\mathrm{pf}}}$ -equivariant homomorphisms and the composition

$$p \circ i : B_{\mathrm{dR},K^{\mathrm{pf}}}^+ \hookrightarrow B_{\mathrm{dR},K}^+ \twoheadrightarrow B_{\mathrm{dR},K^{\mathrm{pf}}}^+$$

is an identity. Put  $B_{\mathrm{dR},K} = B_{\mathrm{dR},K}^+[1/t]$ . Then,  $K$  is canonically embedded in  $B_{\mathrm{dR},K}$  and we have a canonical isomorphism  $(B_{\mathrm{dR},K})^{G_K} = K$ . Thus, for a  $p$ -adic representation  $V$  of  $G_K$ ,  $D_{\mathrm{dR},K}(V) = (B_{\mathrm{dR},K} \otimes_{\mathbb{Q}_p} V)^{G_K}$  is naturally a  $K$ -vector space. We say that a  $p$ -adic representation  $V$  of  $G_K$  is a de Rham representation of  $G_K$  if we have

$$\dim_{\mathbb{Q}_p} V = \dim_K D_{\mathrm{dR},K}(V) \quad (\text{we always have } \dim_{\mathbb{Q}_p} V \geq \dim_K D_{\mathrm{dR},K}(V)).$$

Furthermore, we say that a  $p$ -adic representation  $V$  of  $G_K$  is a potentially de Rham representation of  $G_K$  if there exists a finite field extension  $L/K$  in  $\bar{K}$  such that  $V$  is a de Rham representation of  $G_L$ . We can show that a potentially de Rham representation  $V$  of  $G_K$  is a de Rham representation of  $G_K$  in the same way as in the perfect residue field case.

Define a filtration on  $B_{\mathrm{dR},K}$  to be

$$\begin{aligned} \mathrm{Fil}^0 B_{\mathrm{dR},K} &= \sum_{n=0}^{\infty} t^{-n} \mathrm{fil}^n B_{\mathrm{dR},K}^+ = B_{\mathrm{dR},K}^+ \left[ \frac{t_1}{t}, \dots, \frac{t_e}{t} \right], \\ \mathrm{Fil}^i B_{\mathrm{dR},K} &= t^i \mathrm{Fil}^0 B_{\mathrm{dR},K} \quad (i \in \mathbb{Z}). \end{aligned}$$

Define  $B_{\mathrm{HT},K}$  to be the associated graded algebra to this filtration. Since the quotient  $\mathrm{gr}^i B_{\mathrm{HT},K} = \mathrm{Fil}^i B_{\mathrm{dR},K} / \mathrm{Fil}^{i+1} B_{\mathrm{dR},K}$  ( $i \in \mathbb{Z}$ ) is given by  $\mathrm{gr}^i B_{\mathrm{HT},K} = t^i \mathbb{C}_p \left[ \frac{t_1}{t}, \dots, \frac{t_e}{t} \right]$ , we obtain the presentation

$$B_{\mathrm{HT},K} = \mathbb{C}_p \left[ t, t^{-1}, \frac{t_1}{t}, \dots, \frac{t_e}{t} \right] = B_{\mathrm{HT},K^{\mathrm{pf}}} \left[ \frac{t_1}{t}, \dots, \frac{t_e}{t} \right].$$

From this presentation, it follows easily that

$$i : B_{\mathrm{HT},K^{\mathrm{pf}}} \hookrightarrow B_{\mathrm{HT},K} \quad \text{and} \quad p : B_{\mathrm{HT},K} \twoheadrightarrow B_{\mathrm{HT},K^{\mathrm{pf}}} : t_i/t \mapsto 0$$

are  $G_{K^{\mathrm{pf}}}$ -equivariant homomorphisms and the composition

$$p \circ i : B_{\mathrm{HT},K^{\mathrm{pf}}} \hookrightarrow B_{\mathrm{HT},K} \twoheadrightarrow B_{\mathrm{HT},K^{\mathrm{pf}}}$$

is an identity. The field  $K$  is canonically embedded in  $B_{\mathrm{HT},K}$  and we have  $(B_{\mathrm{HT},K})^{G_K} = K$ . Thus, for a  $p$ -adic representation  $V$  of  $G_K$ ,  $D_{\mathrm{HT},K}(V) =$

$(B_{\text{HT},K} \otimes_{\mathbb{Q}_p} V)^{G_K}$  is naturally a  $K$ -vector space. We say that a  $p$ -adic representation  $V$  of  $G_K$  is a Hodge-Tate representation of  $G_K$  if we have

$$\dim_{\mathbb{Q}_p} V = \dim_K D_{\text{HT},K}(V) \quad (\text{we always have } \dim_{\mathbb{Q}_p} V \geq \dim_K D_{\text{HT},K}(V)).$$

Furthermore, we say that a  $p$ -adic representation  $V$  of  $G_K$  is a potentially Hodge-Tate representation of  $G_K$  if there exists a finite field extension  $L/K$  in  $\overline{K}$  such that  $V$  is a Hodge-Tate representation of  $G_L$ . We can show that a potentially Hodge-Tate representation  $V$  of  $G_K$  is a Hodge-Tate representation of  $G_K$  in the same way as in the perfect residue field case.

### 3. PRELIMINARIES ON $p$ -ADIC DIFFERENTIAL MODULES

In this section, we shall review the theory of  $p$ -adic differential modules which plays an important role in this article. First, let us fix the notations. Let  $K$  be a complete discrete valuation field of characteristic 0 with residue field  $k$  of characteristic  $p > 0$  such that  $[k : k^p] = p^e < \infty$  and  $V$  be a  $p$ -adic representation of  $G_K$ . Define  $K^{(\text{pf})}$  and  $K^{\text{pf}}$  as in Introduction and Subsection 2.2. Put  $K_{\infty}^{(\text{pf})} = \bigcup_{m \geq 0} K^{(\text{pf})}(\zeta_{p^m})$  (resp.  $K_{\infty}^{\text{pf}} = \bigcup_{m \geq 0} K^{\text{pf}}(\zeta_{p^m})$ ) where  $\zeta_{p^m}$  denotes a primitive  $p^m$ -th root of unity in  $\overline{K}$  (resp.  $\overline{K}^{\text{pf}}$ ) such that  $(\zeta_{p^{m+1}})^p = \zeta_{p^m}$ . Let  $\hat{K}_{\infty}^{\text{pf}}$  denote the  $p$ -adic completion of  $K_{\infty}^{\text{pf}}$ . These fields  $K_{\infty}^{(\text{pf})}$ ,  $K_{\infty}^{\text{pf}}$  and  $\hat{K}_{\infty}^{\text{pf}}$  depend on the choice of a lifting of a  $p$ -basis of  $k$  in  $\mathcal{O}_K$ . Then, we have the following inclusions

$$K_{\infty}^{(\text{pf})} \subset K_{\infty}^{\text{pf}} \subset \hat{K}_{\infty}^{\text{pf}}.$$

Let  $H$  denote the kernel of the cyclotomic character  $\chi : G_{K^{\text{pf}}} \rightarrow \mathbb{Z}_p^*$ . Then, the Galois group  $H$  is isomorphic to the subgroup  $\text{Gal}(\overline{K}/K_{\infty}^{(\text{pf})})$  of  $G_K$ . Define  $\Gamma_K = G_K/H$ . Let  $\Gamma_0$  denote the subgroup  $\text{Gal}(K_{\infty}^{(\text{pf})}/K^{(\text{pf})})$  ( $\simeq G_{K^{\text{pf}}}/H$ ) of  $\Gamma_K$ . Let  $\Gamma_i$  ( $1 \leq i \leq e$ ) be the subgroup of  $\Gamma_K$  such that actions of  $\beta_i \in \Gamma_i$  ( $1 \leq i \leq e$ ) satisfy  $\beta_i(\zeta_{p^m}) = \zeta_{p^m}$  and  $\beta_i(b_j^{1/p^m}) = b_j^{1/p^m}$  ( $i \neq j$ ) and define the homomorphism  $c_i : \Gamma_i \rightarrow \mathbb{Z}_p$  such that we have  $\beta_i(b_i^{1/p^m}) = b_i^{1/p^m} \zeta_{p^m}^{c_i(\beta_i)}$ . Then, the homomorphism  $c_i$  defines an isomorphism  $\Gamma_i \simeq \mathbb{Z}_p$  of profinite groups. With this, we can see that there exist isomorphisms of profinite groups

$$\Gamma_K \simeq \Gamma_0 \times (\bigoplus_{i=1}^e \Gamma_i) \simeq \Gamma_0 \times \mathbb{Z}_p^{\oplus e}.$$

**3.1. Definitions of  $p$ -adic differential modules.** We shall review the definitions of  $p$ -adic differential modules and have the following diagram, for a  $p$ -adic representation  $V$  of  $G_K$ ,

$$\begin{array}{ccc} (B_{\text{dR},K}^+ \otimes_{\mathbb{Q}_p} V)^H & \xrightarrow{\theta_K} & (\mathbb{C}_p \otimes_{\mathbb{Q}_p} V)^H \\ \cup & & \cup \\ D_{\text{dif}}^+(V) & \twoheadrightarrow & D_{\text{Sen}}(V) \\ \cup & & \cup \\ D_{e\text{-dif}}^+(V) & \twoheadrightarrow & D_{\text{Bri}}(V). \end{array}$$

3.1.1. *The module  $D_{\text{Sen}}(V)$ .* In the article [S], Sen shows that, for a  $p$ -adic representation  $V$  of  $G_{K^{\text{pf}}}$ , the  $\hat{K}_{\infty}^{\text{pf}}$ -vector space  $(\mathbb{C}_p \otimes_{\mathbb{Q}_p} V)^H$  has dimension  $d = \dim_{\mathbb{Q}_p} V$  and the union of the finite dimensional  $K_{\infty}^{\text{pf}}$ -subspaces of  $(\mathbb{C}_p \otimes_{\mathbb{Q}_p} V)^H$  stable under  $\Gamma_0$  ( $\simeq G_{K^{\text{pf}}}/H$ ) is a  $K_{\infty}^{\text{pf}}$ -vector space of dimension  $d$  stable under  $\Gamma_0$  (called  $D_{\text{Sen}}(V)$ ). We have  $\mathbb{C}_p \otimes_{K_{\infty}^{\text{pf}}} D_{\text{Sen}}(V) = \mathbb{C}_p \otimes_{\mathbb{Q}_p} V$  and the natural map  $\hat{K}_{\infty}^{\text{pf}} \otimes_{K_{\infty}^{\text{pf}}} D_{\text{Sen}}(V) \rightarrow (\mathbb{C}_p \otimes_{\mathbb{Q}_p} V)^H$  is an isomorphism. Furthermore, if  $\gamma \in \Gamma_0$  is close enough to 1, then the series of operators on  $D_{\text{Sen}}(V)$

$$\frac{\log(\gamma)}{\log(\chi(\gamma))} = -\frac{1}{\log(\chi(\gamma))} \sum_{k \geq 1} \frac{(1-\gamma)^k}{k}$$

converges to a  $K_{\infty}^{\text{pf}}$ -linear derivation  $\nabla^{(0)} : D_{\text{Sen}}(V) \rightarrow D_{\text{Sen}}(V)$  and does not depend on the choice of  $\gamma$ .

3.1.2. *The module  $D_{\text{Bri}}(V)$ .* In the article [Br1], Brinon generalizes Sen's work above. For a  $p$ -adic representation  $V$  of  $G_K$ , he shows that the union of the finite dimensional  $K_{\infty}^{(\text{pf})}$ -subspaces of  $(\mathbb{C}_p \otimes_{\mathbb{Q}_p} V)^H$  stable under  $\Gamma_K$  is a  $K_{\infty}^{(\text{pf})}$ -vector space of dimension  $d$  stable under  $\Gamma_K$  (we call it  $D_{\text{Bri}}(V)$ ). We have  $\mathbb{C}_p \otimes_{K_{\infty}^{(\text{pf})}} D_{\text{Bri}}(V) = \mathbb{C}_p \otimes_{\mathbb{Q}_p} V$  and the natural map  $\hat{K}_{\infty}^{\text{pf}} \otimes_{K_{\infty}^{(\text{pf})}} D_{\text{Bri}}(V) \rightarrow (\mathbb{C}_p \otimes_{\mathbb{Q}_p} V)^H$  is an isomorphism. As in the case of  $D_{\text{Sen}}(V)$ , the  $K_{\infty}^{(\text{pf})}$ -vector space  $D_{\text{Bri}}(V)$  is endowed with the action of the  $K_{\infty}^{(\text{pf})}$ -linear derivation  $\nabla^{(0)} = \frac{\log(\gamma)}{\log(\chi(\gamma))}$  if  $\gamma \in \Gamma_0$  is close enough to 1. In addition to this operator  $\nabla^{(0)}$ , if  $\beta_i \in \Gamma_i$  is close enough to 1, then the series of operators on  $D_{\text{Bri}}(V)$

$$\frac{\log(\beta_i)}{c_i(\beta_i)} = -\frac{1}{c_i(\beta_i)} \sum_{k \geq 1} \frac{(1-\beta_i)^k}{k}$$

converges to a  $K_{\infty}^{(\text{pf})}$ -linear derivation  $\nabla^{(i)} : D_{\text{Bri}}(V) \rightarrow D_{\text{Bri}}(V)$  and does not depend on the choice of  $\beta_i$ .

3.1.3. *The module  $D_{e\text{-dif}}^+(V)$ .* In the article [A-B], Andreatta and Brinon generalize Fontaine's work [F3]. For a  $p$ -adic representation  $V$  of  $G_K$ , they show that the union of  $K_{\infty}^{(\text{pf})}[[t, t_1, \dots, t_e]]$ -submodules of finite type of  $(B_{\text{dR}, K}^+ \otimes_{\mathbb{Q}_p} V)^H$  stable under  $\Gamma_K$  is a free  $K_{\infty}^{(\text{pf})}[[t, t_1, \dots, t_e]]$ -module of rank  $d$  stable under  $\Gamma_K$  (we call it  $D_{e\text{-dif}}^+(V)$ ). We have  $B_{\text{dR}, K}^+ \otimes_{K_{\infty}^{(\text{pf})}[[t, t_1, \dots, t_e]]} D_{e\text{-dif}}^+(V) = B_{\text{dR}, K}^+ \otimes_{\mathbb{Q}_p} V$  and the natural map  $(B_{\text{dR}, K}^+)^H \otimes_{K_{\infty}^{(\text{pf})}[[t, t_1, \dots, t_e]]} D_{e\text{-dif}}^+(V) \rightarrow (B_{\text{dR}, K}^+ \otimes_{\mathbb{Q}_p} V)^H$  is an isomorphism. The  $K_{\infty}^{(\text{pf})}[[t, t_1, \dots, t_e]]$ -module  $D_{e\text{-dif}}^+(V)$  is endowed with the action of the  $K_{\infty}^{(\text{pf})}$ -linear derivations  $\nabla^{(0)} = \frac{\log(\gamma)}{\log(\chi(\gamma))}$  if  $\gamma \in \Gamma_0$  is close enough to 1 and  $\nabla^{(i)} = \frac{\log(\beta_i)}{c_i(\beta_i)}$  ( $1 \leq i \leq e$ ) if  $\beta_i \in \Gamma_i$  is close enough to 1.

3.1.4. *The module  $D_{\text{dif}}^+(V)$ .* For a  $p$ -adic representation  $V$  of  $G_K$ , define  $D_{\text{dif}}^+(V)$  to be  $\varprojlim_r (K_\infty^{\text{pf}}[[t, t_1, \dots, t_e]] \otimes_{K_\infty^{\text{pf}}[[t, t_1, \dots, t_e]]} D_{e\text{-dif}}^{+, (r)}(V))$  where we put  $D_{e\text{-dif}}^{+, (r)}(V) = D_{e\text{-dif}}^+(V)/(t, t_1, \dots, t_e)^r D_{e\text{-dif}}^+(V)$ . One can verify that  $D_{\text{dif}}^+(V)$  is the union of  $K_\infty^{\text{pf}}[[t, t_1, \dots, t_e]]$ -submodules of finite type of  $(B_{\text{dR}, K}^+ \otimes_{\mathbb{Q}_p} V)^H$  stable under  $\Gamma_0 (\simeq G_{K^{\text{pf}}}/H)$  and is a free  $K_\infty^{\text{pf}}[[t, t_1, \dots, t_e]]$ -module of rank  $d$  stable under  $\Gamma_0$ . Furthermore, we have  $B_{\text{dR}, K}^+ \otimes_{K_\infty^{\text{pf}}[[t, t_1, \dots, t_e]]} D_{\text{dif}}^+(V) = B_{\text{dR}, K}^+ \otimes_{\mathbb{Q}_p} V$  and the natural map  $(B_{\text{dR}, K}^+)^H \otimes_{K_\infty^{\text{pf}}[[t, t_1, \dots, t_e]]} D_{\text{dif}}^+(V) \rightarrow (B_{\text{dR}, K}^+ \otimes_{\mathbb{Q}_p} V)^H$  is an isomorphism. As in the case of  $D_{e\text{-dif}}^+(V)$ , the  $K_\infty^{\text{pf}}[[t, t_1, \dots, t_e]]$ -module  $D_{\text{dif}}^+(V)$  is endowed with the action of the  $K_\infty^{\text{pf}}$ -linear derivation  $\nabla^{(0)} = \frac{\log(\gamma)}{\log(\chi(\gamma))}$  if  $\gamma \in \Gamma_0$  is close enough to 1.

**Remark 3.1.** (1) The preceding results in Subsection 3.1.1 are obtained when  $V$  is a  $p$ -adic representation of  $G_L = \text{Gal}(\overline{L}/L)$  where  $L$  is a complete discrete valuation field of characteristic 0 with perfect residue field of characteristic  $p > 0$  and we choose an algebraic closure  $\overline{L}$  of  $L$ . However, in Subsection 3.1.1, for simplicity, we stated the results in the case  $L = K^{\text{pf}}$ .  
(2) Note that, though many people denote the  $p$ -adic differential module constructed by Fontaine in [F3] by  $D_{\text{dif}}^+(V)$ , the module  $D_{\text{dif}}^+(V)$  in Subsection 3.1.4 is a little different from this module.

3.2. **Some properties of differential operators.** We shall describe the action of derivations  $\{\nabla^{(i)}\}_{i=0}^e$  on  $D_{\text{Bri}}(V)$  and  $D_{e\text{-dif}}^+(V)$ . First, by a standard argument, we can show that, if  $x \in D_{\text{Bri}}(V)$  (resp.  $D_{e\text{-dif}}^+(V)$ ), we have

$$\nabla^{(0)}(x) = \lim_{\gamma \rightarrow 1} \frac{\gamma(x) - x}{\chi(\gamma) - 1} \quad \text{and} \quad \nabla^{(i)}(x) = \lim_{\beta_i \rightarrow 1} \frac{\beta_i(x) - x}{c_i(\beta_i)}.$$

With this, we can easily describe the actions of  $K_\infty^{(\text{pf})}$ -linear derivations  $\{\nabla^{(i)}\}_{i=0}^e$  on  $K_\infty^{(\text{pf})}[[t, t_1, \dots, t_e]] = D_{e\text{-dif}}^+(\mathbb{Q}_p)$  where  $\mathbb{Q}_p$  is equipped with the structure of  $p$ -adic representations of  $G_K$  induced by the trivial action of  $G_K$ .

**Lemma 3.2.** *The actions of  $K_\infty^{(\text{pf})}$ -linear derivations  $\{\nabla^{(i)}\}_{i=0}^e$  on  $K_\infty^{(\text{pf})}[[t, t_1, \dots, t_e]]$  are given by  $\nabla^{(0)} = t \frac{d}{dt}$  and  $\nabla^{(i)} = t \frac{d}{dt_i}$  ( $1 \leq i \leq e$ ).*

*Proof.* Since  $\{\nabla^{(j)}\}_{j=0}^e$  are  $K_\infty^{(\text{pf})}$ -linear derivations and we can see that we have  $\nabla^{(j)}(t_k) = 0$  ( $j \neq k$ ) and  $\nabla^{(i)}(t) = 0$  ( $i \neq 0$ ), it suffices to show that we have  $\nabla^{(0)}(t) = t$  and  $\nabla^{(i)}(t_i) = t$ . These follow from

$$\begin{aligned} \nabla^{(0)}(t) &= \lim_{\gamma \rightarrow 1} \frac{\gamma(t) - t}{\chi(\gamma) - 1} = \lim_{\gamma \rightarrow 1} \frac{\chi(\gamma)t - t}{\chi(\gamma) - 1} = t \\ \nabla^{(i)}(t_i) &= \lim_{\beta_i \rightarrow 1} \frac{\beta_i(t_i) - t_i}{c_i(\beta_i)} = \lim_{\beta_i \rightarrow 1} \frac{(t_i + c_i(\beta_i)t) - t_i}{c_i(\beta_i)} = t. \end{aligned}$$

□

We extend naturally actions of  $K_\infty^{(\text{pf})}$ -linear derivations  $\{\nabla^{(i)}\}_{i=0}^e$  on  $K_\infty^{(\text{pf})}[[t, t_1, \dots, t_e]]$  to  $K_\infty^{(\text{pf})}[[t, t_1, \dots, t_e]][t^{-1}]$  ( $\subset B_{\text{dR}, K}$ ) by putting  $\nabla^{(0)}(t^{-1}) = -t^{-1}$  and



$\nabla^{(i)}(t^{-1}) = 0$  ( $1 \leq i \leq e$ ). Now, we compute the bracket  $[\ , \ ]$  of derivations  $\{\nabla^{(i)}\}_{i=0}^e$  on  $D_{\text{Bri}}(V)$  (resp.  $D_{e\text{-dif}}^+(V)$ ).

**Proposition 3.3.** *On the  $p$ -adic differential module  $D_{\text{Bri}}(V)$  (resp.  $D_{e\text{-dif}}^+(V)$ ), we have  $[\nabla^{(0)}, \nabla^{(i)}] = \nabla^{(i)}$  ( $i \neq 0$ ) and  $[\nabla^{(i)}, \nabla^{(j)}] = 0$  ( $i, j \neq 0$ ).*

*Proof.* The second equality follows from the commutativity of  $\beta_i$  and  $\beta_j$ . For the first equality, we have the relation  $\gamma\beta_i = \beta_i^{\chi(\gamma)}\gamma$ . Then, since we have

$$\lim_{h \rightarrow 0} \frac{a^{h+1} - a}{(h+1) - 1} = a \log(a),$$

we obtain

$$\begin{aligned} [\nabla^{(0)}, \nabla^{(i)}](*) &= \lim_{\gamma \rightarrow 1} \frac{\gamma - 1}{\chi(\gamma) - 1} \lim_{\beta_i \rightarrow 1} \frac{\beta_i - 1}{c_i(\beta_i)} (*) - \lim_{\beta_i \rightarrow 1} \frac{\beta_i - 1}{c_i(\beta_i)} \lim_{\gamma \rightarrow 1} \frac{\gamma - 1}{\chi(\gamma) - 1} (*) \\ &= \lim_{\beta_i \rightarrow 1} \lim_{\gamma \rightarrow 1} \frac{\gamma\beta_i - \gamma - \beta_i + 1}{(\chi(\gamma) - 1)c_i(\beta_i)} (*) - \lim_{\beta_i \rightarrow 1} \lim_{\gamma \rightarrow 1} \frac{\beta_i\gamma - \gamma - \beta_i + 1}{(\chi(\gamma) - 1)c_i(\beta_i)} (*) \\ &= \lim_{\beta_i \rightarrow 1} \lim_{\gamma \rightarrow 1} \frac{\beta_i^{\chi(\gamma)}\gamma - \beta_i\gamma}{(\chi(\gamma) - 1)c_i(\beta_i)} (*) \\ &= \lim_{\beta_i \rightarrow 1} \frac{\beta_i \log(\beta_i)}{c_i(\beta_i)} (*) \\ &= \nabla^{(i)}(*). \end{aligned}$$

□

**Proposition 3.4.** *The action of the  $K_\infty^{(\text{pf})}$ -linear derivation  $\nabla^{(i)}$  ( $i \neq 0$ ) on  $D_{\text{Bri}}(V)$  is nilpotent.*

*Proof.* From the equality  $\nabla^{(0)}\nabla^{(i)} - \nabla^{(i)}\nabla^{(0)} = \nabla^{(i)}$ , we get  $\nabla^{(0)}(\nabla^{(i)})^r - (\nabla^{(i)})^r\nabla^{(0)} = r(\nabla^{(i)})^r$  and  $\text{tr}(r(\nabla^{(i)})^r) = 0$  for all  $r \in \mathbb{N}$ . Since the characteristic of  $K_\infty^{(\text{pf})}$  is 0, we obtain  $\text{tr}((\nabla^{(i)})^r) = 0$  for all  $r \in \mathbb{N}$ . As is well known in linear algebra, this shows that the action of the  $K_\infty^{(\text{pf})}$ -linear derivation  $\nabla^{(i)}$  ( $i \neq 0$ ) on  $D_{\text{Bri}}(V)$  is nilpotent. □

*Notation.* For simplicity, put

$$R = K_\infty^{(\text{pf})}\left[t, \frac{t_1}{t}, \dots, \frac{t_e}{t}\right] \quad \text{or} \quad K_\infty^{(\text{pf})}[[t, t_1, \dots, t_e]].$$

**Proposition 3.5.** *Let  $M$  be a finitely generated free  $R[1/t]$ -module endowed with  $K_\infty^{(\text{pf})}$ -linear derivations  $\{\nabla^{(i)}\}_{i=0}^e$  which satisfy the same properties in Lemma 3.2 and Proposition 3.3. Assume that we can choose a basis  $\{g_j\}_{j=1}^d$  of  $M$  over  $R[1/t]$  such that  $\nabla^{(0)}(g_j) = 0$ . Then, the action of  $\nabla^{(i)}$  ( $i \neq 0$ ) on this basis is given by  $\nabla^{(i)}(g_j) = t \sum_{k=1}^d c_k g_k$  where  $c_k$  is an element of  $R$  such that  $\nabla^{(0)}(c_k) = 0$ .*

*Proof.* Since  $\{g_j\}_{j=1}^d$  forms a basis of  $M$  over  $R[1/t]$ , we can write, for  $i \neq 0$ ,

$$(3.1) \quad \nabla^{(i)}(g_j) = \sum_{k=1}^d a_k g_k \quad (a_k \in R[1/t]).$$

Then, the relation  $[\nabla^{(0)}, \nabla^{(i)}] = \nabla^{(i)}$  ( $i \neq 0$ ) of Proposition 3.3 says that we have  $\sum_{k=1}^d \nabla^{(0)}(a_k)g_k = \sum_{k=1}^d a_k g_k$ . Note that we have  $\nabla^{(0)}(g_j) = 0$  by hypothesis. Hence, we obtain the differential equation  $\nabla^{(0)}(a_k) = a_k$ . Define an element  $c_k$  of  $R[1/t]$  to be  $a_k/t$ . Then, we can see that  $c_k$  satisfies  $\nabla^{(0)}(c_k) = a_k/t - a_k/t = 0$  and that  $c_k$  is contained in  $R$ . Thus, the solution of the differential equation  $\nabla^{(0)}(a_k) = a_k$  in  $R[1/t]$  has the following form

$$(3.2) \quad a_k = c_k t$$

where  $c_k$  is an element of  $R$  such that  $\nabla^{(0)}(c_k) = 0$ . Hence, from (3.1) and (3.2), we obtain, for  $i \neq 0$ ,  $\nabla^{(i)}(g_j) = t \sum_{k=1}^d c_k g_k$  where  $c_k$  is an element of  $R$  such that  $\nabla^{(0)}(c_k) = 0$ .  $\square$

**Corollary 3.6.** *With notations as in Proposition 3.5 above, we have the following presentation*

$$(\nabla^{(1)})^{k_1} \dots (\nabla^{(e)})^{k_e}(g_j) = t^{k_1 + \dots + k_e} \sum_{k=1}^d c_k g_k$$

where  $c_k$  is an element of  $R$  such that  $\nabla^{(0)}(c_k) = 0$ .

#### 4. PROOF OF THE MAIN THEOREM

In this section, we keep the notation and the assumption in Section 3.

##### 4.1. Main theorem for Hodge-Tate representations.

**Proposition 4.1.** *([S], Section (2.3)) If  $V$  is a Hodge-Tate representation of  $G_{K^{\text{pf}}}$ , there exists a  $\Gamma_0$ -equivariant isomorphism of  $K_\infty^{\text{pf}}$ -vector spaces*

$$D_{\text{Sen}}(V) \simeq \bigoplus_{j=1}^{d=\dim_{\mathbb{Q}_p} V} K_\infty^{\text{pf}}(n_j) \quad (n_j \in \mathbb{Z}).$$

**Remark 4.2.** In general, if  $L$  denotes a complete discrete valuation field of characteristic 0 with perfect residue field of characteristic  $p > 0$  and  $V$  is a Hodge-Tate representation of  $G_L = \text{Gal}(\bar{L}/L)$  where we choose an algebraic closure  $\bar{L}$  of  $L$ , Sen shows that there exists a  $G_L/H$ -equivariant isomorphism of  $L_\infty (= \cup_{m \geq 1} L(\zeta_{p^m}))$ -vector spaces ([S], Section (2.3))

$$D_{\text{Sen}}(V) \simeq \bigoplus_{j=1}^{d=\dim_{\mathbb{Q}_p} V} L_\infty(n_j) \quad (n_j \in \mathbb{Z}).$$

**Corollary 4.3.** *For a  $p$ -adic representation  $V$  of  $G_K$ , assume that  $V$  is a Hodge-Tate representation of  $G_{K^{\text{pf}}}$ . Then, there exists a  $\nabla^{(0)}$ -equivariant isomorphism of  $K_\infty^{(\text{pf})}$ -vector spaces*

$$D_{\text{Bri}}(V) \simeq_{\nabla^{(0)}} \bigoplus_{j=1}^{d=\dim_{\mathbb{Q}_p} V} K_\infty^{(\text{pf})}(n_j) \quad (n_j \in \mathbb{Z}).$$

Here,  $\simeq_{\nabla^{(0)}}$  denotes a  $\nabla^{(0)}$ -equivariant isomorphism. Furthermore, the multiplicity of  $\{n_j\}_{j=1}^d$  is the same as that of  $\{n_j\}_{j=1}^d$  in Proposition 4.1.

*Proof.* From the presentation of Proposition 4.1, the action of the  $K_\infty^{\text{pf}}$ -linear derivation  $\nabla^{(0)}$  on  $D_{\text{Sen}}(V)$  is semi-simple and its eigenvalues are integers. Thus, the action of the  $K_\infty^{(\text{pf})}$ -linear derivation  $\nabla^{(0)}$  on the subspace  $D_{\text{Bri}}(V)$  of  $D_{\text{Sen}}(V)$  is also semi-simple and its eigenvalues are the same. Therefore, we obtain a  $\nabla^{(0)}$ -equivariant isomorphism  $D_{\text{Bri}}(V) \simeq_{\nabla^{(0)}} \bigoplus_{j=1}^d K_\infty^{(\text{pf})}(n_j)$  ( $n_j \in \mathbb{Z}$ ). By tensoring  $K_\infty^{\text{pf}} \otimes_{K_\infty^{(\text{pf})}}$  over both sides, we obtain  $K_\infty^{\text{pf}} \otimes_{K_\infty^{(\text{pf})}} D_{\text{Bri}}(V) \simeq_{\nabla^{(0)}} \bigoplus_{j=1}^d K_\infty^{\text{pf}}(n_j)$  ( $n_j \in \mathbb{Z}$ ). Furthermore, since we have  $K_\infty^{\text{pf}} \otimes_{K_\infty^{(\text{pf})}} D_{\text{Bri}}(V) \hookrightarrow D_{\text{Sen}}(V)$  by definition and both sides have the same dimension  $d$  over  $K_\infty^{\text{pf}}$ , we obtain  $K_\infty^{\text{pf}} \otimes_{K_\infty^{(\text{pf})}} D_{\text{Bri}}(V) = D_{\text{Sen}}(V)$  and can see that the multiplicity of  $\{n_j\}_{j=1}^d$  is the same as that of  $\{n_j\}_{j=1}^d$  in Proposition 4.1.  $\square$

**Theorem 4.4.** *Let  $K$  be a complete discrete valuation field of characteristic 0 with residue field  $k$  of characteristic  $p > 0$  such that  $[k : k^p] = p^e < +\infty$  and  $V$  be a  $p$ -adic representation of  $G_K$ . Let  $K^{\text{pf}}$  be the field extension of  $K$  defined as before. Then,  $V$  is a Hodge-Tate representation of  $G_K$  if and only if  $V$  is a Hodge-Tate representation of  $G_{K^{\text{pf}}}$ .*

*Proof.* We shall prove the main theorem in two parts.

(1)  **$V$ : HT rep. of  $G_K \Rightarrow V$ : HT rep. of  $G_{K^{\text{pf}}}$**

Since  $V$  is a Hodge-Tate representation of  $G_K$ , there exists a  $G_K$ -equivariant isomorphism of  $B_{\text{HT},K}$ -modules

$$(4.1) \quad B_{\text{HT},K} \otimes_{\mathbb{Q}_p} V \simeq (B_{\text{HT},K})^{d=\dim_{\mathbb{Q}_p} V}.$$

Now, by tensoring  $B_{\text{HT},K^{\text{pf}}} \otimes_{B_{\text{HT},K}}$  (which is induced by the  $G_{K^{\text{pf}}}$ -equivariant surjection  $p : B_{\text{HT},K} \rightarrow B_{\text{HT},K^{\text{pf}}} : t_i/t \mapsto 0$ ) over (4.1), we obtain a  $G_{K^{\text{pf}}}$ -equivariant isomorphism of  $B_{\text{HT},K^{\text{pf}}}$ -modules

$$B_{\text{HT},K^{\text{pf}}} \otimes_{\mathbb{Q}_p} V \simeq (B_{\text{HT},K^{\text{pf}}})^d.$$

This means that  $V$  is a Hodge-Tate representation of  $G_{K^{\text{pf}}}$ .

**(2)  $V$ : HT rep. of  $G_{K^{\text{pf}}}$   $\Rightarrow$   $V$ : HT rep. of  $G_K$**

For simplicity, put  $R = K_\infty^{(\text{pf})}[t, \frac{t_1}{t}, \dots, \frac{t_e}{t}]$ . We shall construct the  $K_\infty^{(\text{pf})}$ -linearly independent elements  $\{f_j^{(*)}\}_{j=1}^{d=\dim_{\mathbb{Q}_p} V}$  of  $R[1/t] \otimes_{K_\infty^{(\text{pf})}} D_{\text{Bri}}(V)$  ( $\subset B_{\text{HT},K} \otimes_{\mathbb{Q}_p} V$ ) such that  $\nabla^{(i)}(f_j^{(*)}) = 0$  for all  $0 \leq i \leq e$  and  $1 \leq j \leq d$ .

**(A) Construction of  $\{f_j^{(*)}\}_{j=1}^d \in R[1/t] \otimes_{K_\infty^{(\text{pf})}} D_{\text{Bri}}(V)$**

From the presentation of Corollary 4.3 above, if we twist by some powers of  $t$ , we obtain a basis  $\{f_j\}_{j=1}^d$  of  $R[1/t] \otimes_{K_\infty^{(\text{pf})}} D_{\text{Bri}}(V)$  over  $R[1/t]$  such that  $\nabla^{(0)}(f_j) = 0$  for all  $1 \leq j \leq d$ . Thus, by applying Corollary 3.6 to the  $R[1/t]$ -module  $R[1/t] \otimes_{K_\infty^{(\text{pf})}} D_{\text{Bri}}(V)$  generated by  $\{f_j\}_{j=1}^d$ , we can deduce

$$(4.2) \quad (\nabla^{(1)})^{k_1} \dots (\nabla^{(e)})^{k_e}(f_j) = t^{k_1 + \dots + k_e} \sum_{k=1}^d c_k f_k$$

where  $c_k$  is an element of  $R$  such that  $\nabla^{(0)}(c_k) = 0$ . Furthermore, since the action of  $K_\infty^{(\text{pf})}$ -linear derivation  $\nabla^{(i)}$  ( $i \neq 0$ ) on  $D_{\text{Bri}}(V)$  is nilpotent by Proposition 3.4, if we take  $n \in \mathbb{N}$  large enough, we obtain

$$(4.3) \quad (\nabla^{(i)})^n(f_j) = 0 \quad \text{for all } 1 \leq j \leq d \text{ and } 1 \leq i \leq e.$$

Define an element  $f_j^{(*)}$  of  $R[1/t] \otimes_{K_\infty^{(\text{pf})}} D_{\text{Bri}}(V)$  by

$$f_j^{(*)} = \sum_{0 \leq k_1, \dots, k_e} (-1)^{k_1 + \dots + k_e} \frac{t_1^{k_1} \dots t_e^{k_e}}{k_1! \dots k_e! t^{k_1 + \dots + k_e}} (\nabla^{(1)})^{k_1} \dots (\nabla^{(e)})^{k_e}(f_j).$$

Note that this series is a finite sum by (4.3) and thus  $f_j^{(*)}$  actually defines an element of  $R[1/t] \otimes_{K_\infty^{(\text{pf})}} D_{\text{Bri}}(V)$ . Then, it follows easily that we have  $\nabla^{(i)}(f_j^{(*)}) = 0$  for all  $1 \leq i \leq e$  and  $1 \leq j \leq d$  by using the Leibniz rule. Furthermore, by using (4.2) and the fact  $\nabla^{(0)}(f_j) = 0$ , we can deduce that we have  $\nabla^{(0)}(f_j^{(*)}) = 0$  for all  $1 \leq j \leq d$ .

**(B)  $\{f_j^{(*)}\}_{j=1}^d \in R[1/t] \otimes_{K_\infty^{(\text{pf})}} D_{\text{Bri}}(V)$  is linearly independent over  $K_\infty^{(\text{pf})}$**

By the presentation of  $f_j^{(*)}$ , we have

$$f_j^{(*)} = f_j + g_j \quad (g_j \in (\frac{t_1}{t}, \dots, \frac{t_e}{t})(B_{\text{HT},K} \otimes_{\mathbb{Q}_p} V)).$$

Since  $\{f_j\}_{j=1}^d$  forms a basis of  $R[1/t] \otimes_{K_\infty^{(\text{pf})}} D_{\text{Bri}}(V)$  over  $R[1/t]$ , it is, in particular, linearly independent over  $K_\infty^{(\text{pf})}$  ( $\subset R[1/t]$ ). Thus,  $\{\overline{f_j} = \overline{f_j^{(*)}}\}_{j=1}^d$  ( $\overline{\phantom{x}}$  denotes the reduction modulo  $(t_1, \dots, t_e)$ ) is linearly independent over  $K_\infty^{(\text{pf})}$  and we can see that  $\{f_j^{(*)}\}_{j=1}^d$  is linearly independent over  $K_\infty^{(\text{pf})}$  in  $R[1/t] \otimes_{K_\infty^{(\text{pf})}} D_{\text{Bri}}(V)$ .

### (C) Conclusion

Therefore, on the  $K$ -vector space generated by  $\{f_j^{(*)}\}_{j=1}^d$ ,  $\log(\gamma)$  and  $\{\log(\beta_i)\}_{i=1}^e$  act trivially ( $\Leftrightarrow \nabla^{(0)}(f_j^{(*)}) = 0$  and  $\nabla^{(i)}(f_j^{(*)}) = 0$  for all  $1 \leq i \leq e$  and  $1 \leq j \leq d$ ). Thus, this means that  $\Gamma_K$  acts on this  $K$ -vector space via finite quotient and there exists a finite field extension  $L/K$  in  $K_\infty^{(\text{pf})}$  such that  $\{f_j^{(*)}\}_{j=1}^d$  forms a basis of  $D_{\text{HT},L}(V)$  over  $L$ . Since a potentially Hodge-Tate representation of  $G_K$  is a Hodge-Tate representation of  $G_K$ , this completes the proof.  $\square$

#### 4.2. Main theorem for de Rham representations.

**Lemma 4.5.** *For a  $p$ -adic representation  $V$  of  $G_K$ , assume that  $V$  is a de Rham representation of  $G_{K^{\text{pf}}}$ . Then, we can choose a basis  $\{h_j\}_{j=1}^{d=\dim_{\mathbb{Q}_p} V}$  of  $D_{\text{dif}}^+(V)[1/t]$  over  $K_\infty^{\text{pf}}[[t, t_1, \dots, t_e]][1/t]$  such that the action of  $\Gamma_0$  on  $\{h_j\}_{j=1}^d$  is trivial.*

*Proof.* Since  $V$  is a de Rham representation of  $G_{K^{\text{pf}}}$ , there exists a basis  $\{h_j\}_{j=1}^d$  of  $B_{\text{dR},K^{\text{pf}}} \otimes_{\mathbb{Q}_p} V$  over  $B_{\text{dR},K^{\text{pf}}}$  such that the action of  $G_{K^{\text{pf}}}$  on  $\{h_j\}_{j=1}^d$  is trivial. We can see that these elements  $\{h_j\}_{j=1}^d$  are contained in  $D_{\text{dif}}^+(V)[1/t]$  by definition. For each  $j$ , if we twist  $h_j$  by some power of  $t$ , we obtain an element  $g_j$  of  $B_{\text{dR},K^{\text{pf}}} \otimes_{\mathbb{Q}_p} V$  such that  $g_j \notin tB_{\text{dR},K^{\text{pf}}} \otimes_{\mathbb{Q}_p} V$ . Then, it follows that  $g_j$  is contained in  $D_{\text{dif}}^+(V)$  and satisfies  $\bar{g}_j \neq 0$  ( $-$  denotes the reduction modulo  $(t, t_1, \dots, t_e)D_{\text{dif}}^+(V)$ ). Since  $D_{\text{dif}}^+(V)$  is a free module of rank  $d$  over the local ring  $K_\infty^{\text{pf}}[[t, t_1, \dots, t_e]]$  and  $\{\bar{g}_j\}_{j=1}^d$  forms a basis of  $D_{\text{Sen}}(V)$  over  $K_\infty^{\text{pf}}$ , the lifting  $\{g_j\}_{j=1}^d$  of  $\{\bar{g}_j\}_{j=1}^d$  in  $D_{\text{dif}}^+(V)$  forms a basis of  $D_{\text{dif}}^+(V)$  over  $K_\infty^{\text{pf}}[[t, t_1, \dots, t_e]]$ . Thus, it follows that  $\{h_j\}_{j=1}^d$  forms a basis of  $D_{\text{dif}}^+(V)[1/t]$  over  $K_\infty^{\text{pf}}[[t, t_1, \dots, t_e]][1/t]$ .  $\square$

With notations as above, note that, since we have the inclusion  $D_{e\text{-dif}}^+(V) \hookrightarrow D_{\text{dif}}^+(V)[1/t]$  by definition, any element  $g$  of  $D_{e\text{-dif}}^+(V)$  can be written as  $g = \sum_{k=l}^{+\infty} (\sum_{j=1}^d a_{jk} h_j) t^k$  ( $a_{jk} \in K_\infty^{\text{pf}}[[t_1, \dots, t_e]]$ ).

**Remark 4.6.** Keep the notation as in Lemma 4.5. Since we assume that  $V$  is a de Rham representation of  $G_{K^{\text{pf}}}$ , by Corollary 4.3, there exists a basis  $\{v_j\}_{j=1}^d$  of  $D_{\text{Bri}}(V)$  over  $K_\infty^{(\text{pf})}$  such that  $\nabla^{(0)}(v_j) = n_j v_j$ . Put  $M = \text{Max}(n_j)_{j=1}^d$ . Then, for an element  $g \in D_{e\text{-dif}}^+(V)$ , there exists an element  $\sum_{k=n}^{+\infty} (\sum_{j=1}^d c_{jk} h_j) t^k$  of  $(t, t_1, \dots, t_e)D_{e\text{-dif}}^+(V)$  such that we can write

$$g = \sum_{k=m}^M \left( \sum_{j=1}^d b_{jk} h_j \right) t^k + \sum_{k=n}^{+\infty} \left( \sum_{j=1}^d c_{jk} h_j \right) t^k \quad (b_{jk}, c_{jk} \in K_\infty^{\text{pf}}[[t_1, \dots, t_e]]).$$

Thus,  $g' = \sum_{k=m}^M (\sum_{j=1}^d b_{jk} h_j) t^k$  defines an element of  $D_{e\text{-dif}}^+(V)$ .

**Lemma 4.7.** *With notations as above, for an element  $g' = \sum_{k=m}^M (\sum_{j=1}^d b_{jk} h_j) t^k$  of  $D_{e\text{-dif}}^+(V)$ , each  $(\sum_{j=1}^d b_{jk} h_j) t^k$  is contained in  $D_{e\text{-dif}}^+(V)$ .*

*Proof.* We shall prove this lemma by induction on the smallest degree of  $g'$  with respect to  $t$ . Since we have  $g' - (\sum_{j=1}^d b_{jm} h_j) t^m \in D_{e\text{-dif}}^+(V)$  if  $(\sum_{j=1}^d b_{jm} h_j) t^m$  is contained in  $D_{e\text{-dif}}^+(V)$ , it suffices to show that  $(\sum_{j=1}^d b_{jm} h_j) t^m$  is contained in  $D_{e\text{-dif}}^+(V)$ . Since the  $K_\infty^{\text{pf}}[[t_1, \dots, t_e]]$ -linear derivation  $\nabla^{(0)}$  acts trivially on  $\{h_j\}_{j=1}^d$ , we have

$$\prod_{k=m+1}^M (\nabla^{(0)} - k)(g') = \left( \prod_{k=m+1}^M (m - k) \right) \left( \sum_{j=1}^d b_{jm} h_j \right) t^m.$$

It follows that  $(\sum_{j=1}^d b_{jm} h_j) t^m$  is contained in  $D_{e\text{-dif}}^+(V)$  since the action of  $\nabla^{(0)}$  on  $D_{e\text{-dif}}^+(V)$  is stable. Thus, this completes the proof.  $\square$

**Proposition 4.8.** *For a  $p$ -adic representation  $V$  of  $G_K$ , assume that  $V$  is a de Rham representation of  $G_{K^{\text{pf}}}$ . Then, there exists a  $\nabla^{(0)}$ -equivariant isomorphism of  $K_\infty^{(\text{pf})}[[t, t_1, \dots, t_e]]$ -modules*

$$D_{e\text{-dif}}^+(V) \simeq_{\nabla^{(0)}} \bigoplus_{j=1}^{d=\dim_{\mathbb{Q}_p} V} K_\infty^{(\text{pf})}[[t, t_1, \dots, t_e]](n_j) \quad (n_j \in \mathbb{Z}).$$

*Proof.* Since  $V$  is also a Hodge-Tate representation of  $G_{K^{\text{pf}}}$ , by Corollary 4.3, there exists a basis  $\{v_j\}_{j=1}^d$  of  $D_{e\text{-dif}}^+(V)/(t, t_1, \dots, t_e)D_{e\text{-dif}}^+(V) \simeq D_{\text{Bri}}(V)$  over  $K_\infty^{(\text{pf})}$  such that it gives a  $\nabla^{(0)}$ -equivariant isomorphism of  $K_\infty^{(\text{pf})}$ -vector spaces

$$D_{e\text{-dif}}^+(V)/(t, t_1, \dots, t_e)D_{e\text{-dif}}^+(V) \simeq_{\nabla^{(0)}} \bigoplus_{j=1}^d K_\infty^{(\text{pf})}(n_j) : v_j \mapsto t^{n_j}.$$

Since  $D_{e\text{-dif}}^+(V)$  is a free module of rank  $d$  over the local ring  $K_\infty^{(\text{pf})}[[t, t_1, \dots, t_e]]$ , any lifting  $\{g_j\}_{j=1}^d$  of  $\{v_j\}_{j=1}^d$  in  $D_{e\text{-dif}}^+(V)$  forms a basis of  $D_{e\text{-dif}}^+(V)$  over  $K_\infty^{(\text{pf})}[[t, t_1, \dots, t_e]]$ . Let  $\{h_j\}_{j=1}^d$  denote a basis of  $D_{\text{dif}}^+(V)[1/t]$  over  $K_\infty^{\text{pf}}[[t, t_1, \dots, t_e]][1/t]$  such that  $\nabla^{(0)}(h_j) = 0$  obtained in Lemma 4.5. Then, we may assume that each  $g_j$  is written as  $g_j = \sum_{k=m}^M (\sum_{l=1}^d b_{kl} h_l) t^k$  ( $b_{kl} \in K_\infty^{\text{pf}}[[t_1, \dots, t_e]]$ ) where we take  $M \in \mathbb{N}$  as in Remark 4.6. Now, define an element  $f_j$  of  $D_{e\text{-dif}}^+(V)$  (Lemma 4.7 above) by

$$f_j = \left( \sum_{l=1}^d b_{n_j l} h_l \right) t^{n_j}.$$

It is easy to see  $\nabla^{(0)}(f_j) = n_j f_j$ . Therefore, the rest is to show that  $\{f_j\}_{j=1}^d$  forms a basis of  $D_{e\text{-dif}}^+(V)$  over  $K_\infty^{(\text{pf})}[[t, t_1, \dots, t_e]]$ . To prove that  $\{f_j\}_{j=1}^d$  is a lifting of  $\{v_j\}_{j=1}^d$ , it suffices to show  $g_j - f_j \in (t, t_1, \dots, t_e)D_{e\text{-dif}}^+(V)$ . For each  $g_j$ , put  $s_k = (\sum_{l=1}^d b_{kl} h_l) t^k \in D_{e\text{-dif}}^+(V)$  (Lemma 4.7 above). Since we have  $\nabla^{(0)}(\overline{s_k}) = k \overline{s_k}$  ( $\overline{\phantom{x}}$  denotes the reduction modulo  $(t, t_1, \dots, t_e)$ ) and this means that  $\overline{s_k}$  is an eigenvector of  $\nabla^{(0)}$ , it follows that the elements  $\{v_j, \overline{s_k} \neq 0\}_{k \neq n_j}$  are linearly independent over  $K_\infty^{(\text{pf})}$  in  $D_{\text{Bri}}(V)$ . Since we have  $v_j = \sum_{k=m}^M \overline{s_k}$  by definition,

it follows that we obtain  $\overline{s_k} = 0$  for  $k \neq n_j$ . This means that we have  $s_k \in (t, t_1, \dots, t_e)D_{e\text{-dif}}^+(V)$  ( $k \neq n_j$ ) and  $g_j - f_j \in (t, t_1, \dots, t_e)D_{e\text{-dif}}^+(V)$ . Thus, this completes the proof.  $\square$

**Remark 4.9.** In general, it is evident from the proof that, if  $L$  denotes a complete discrete valuation field of characteristic 0 with perfect residue field of characteristic  $p > 0$  and  $V$  is a de Rham representation of  $G_L = \text{Gal}(\overline{L}/L)$  where we choose an algebraic closure  $\overline{L}$  of  $L$ , we have a  $\nabla^{(0)}$ -equivariant isomorphism of  $L_\infty[[t]]$ -modules

$$D_{\text{dif}}^+(V) \simeq_{\nabla^{(0)}} \bigoplus_{j=1}^{d=\dim_{\mathbb{Q}_p} V} L_\infty[[t]](n_j) \quad (n_j \in \mathbb{Z}).$$

**Theorem 4.10.** *Let  $K$  be a complete discrete valuation field of characteristic 0 with residue field  $k$  of characteristic  $p > 0$  such that  $[k : k^p] = p^e < +\infty$  and  $V$  be a  $p$ -adic representation of  $G_K$ . Let  $K^{\text{pf}}$  be the field extension of  $K$  defined as before. Then,  $V$  is a de Rham representation of  $G_K$  if and only if  $V$  is a de Rham representation of  $G_{K^{\text{pf}}}$ .*

*Proof.* We shall prove the main theorem in two parts.

**(1)  $V$ : dR rep. of  $G_K \Rightarrow V$ : dR rep. of  $G_{K^{\text{pf}}}$**

Since  $V$  is a de Rham representation of  $G_K$ , there exists a  $G_K$ -equivariant isomorphism of  $B_{\text{dR},K}$ -modules

$$(4.4) \quad B_{\text{dR},K} \otimes_{\mathbb{Q}_p} V \simeq (B_{\text{dR},K})^{d=\dim_{\mathbb{Q}_p} V}.$$

Now, by tensoring  $B_{\text{dR},K^{\text{pf}}} \otimes_{B_{\text{dR},K}}$  (which is induced by the  $G_{K^{\text{pf}}}$ -equivariant surjection  $p : B_{\text{dR},K} \twoheadrightarrow B_{\text{dR},K^{\text{pf}}} : t_i \mapsto 0$ ) over (4.4), we obtain a  $G_{K^{\text{pf}}}$ -equivariant isomorphism of  $B_{\text{dR},K^{\text{pf}}}$ -modules

$$B_{\text{dR},K^{\text{pf}}} \otimes_{\mathbb{Q}_p} V \simeq (B_{\text{dR},K^{\text{pf}}})^d.$$

This means that  $V$  is a de Rham representation of  $G_{K^{\text{pf}}}$ .

**(2)  $V$ : dR rep. of  $G_{K^{\text{pf}}} \Rightarrow V$ : dR rep. of  $G_K$**

For simplicity, put  $R = K_\infty^{(\text{pf})}[[t, t_1, \dots, t_e]]$ . We shall construct the  $K_\infty^{(\text{pf})}$ -linearly independent elements  $\{f_j^{(*)}\}_{j=1}^{d=\dim_{\mathbb{Q}_p} V}$  of  $R[1/t] \otimes_R D_{e\text{-dif}}^+(V) (\subset B_{\text{dR},K} \otimes_{\mathbb{Q}_p} V)$  such that  $\nabla^{(i)}(f_j^{(*)}) = 0$  for all  $0 \leq i \leq e$  and  $1 \leq j \leq d$ .

**(A) Construction of  $\{f_j^{(*)}\}_{j=1}^d \in R[1/t] \otimes_R D_{e\text{-dif}}^+(V)$**

From the presentation of Proposition 4.8 above, if we twist by some powers of  $t$ , we obtain a basis  $\{f_j\}_{j=1}^d$  of  $R[1/t] \otimes_R D_{e\text{-dif}}^+(V)$  over  $R[1/t]$  such that  $\nabla^{(0)}(f_j) = 0$  for all  $1 \leq j \leq d$ . Thus, by applying Corollary 3.6 to the  $R[1/t]$ -module

$R[1/t] \otimes_R D_{e\text{-dif}}^+(V)$  generated by  $\{f_j\}_{j=1}^d$ , we can deduce

$$(4.5) \quad (\nabla^{(1)})^{k_1} \dots (\nabla^{(e)})^{k_e}(f_j) = t^{k_1+\dots+k_e} \sum_{k=1}^d c_k f_k$$

where  $c_k$  is an element of  $R$  such that  $\nabla^{(0)}(c_k) = 0$ . Define an element  $f_j^{(*)}$  of  $R[1/t] \otimes_R D_{e\text{-dif}}^+(V)$  by

$$f_j^{(*)} = \sum_{0 \leq k_1, \dots, k_e} (-1)^{k_1+\dots+k_e} \frac{t_1^{k_1} \dots t_e^{k_e}}{k_1! \dots k_e! t^{k_1+\dots+k_e}} (\nabla^{(1)})^{k_1} \dots (\nabla^{(e)})^{k_e}(f_j).$$

Note that this series converges in  $R[1/t] \otimes_R D_{e\text{-dif}}^+(V)$  for  $(t_1, \dots, t_e)$ -adic topology by (4.5) and thus  $f_j^{(*)}$  actually defines an element of  $R[1/t] \otimes_R D_{e\text{-dif}}^+(V)$ . Then, it follows easily that we have  $\nabla^{(i)}(f_j^{(*)}) = 0$  for all  $1 \leq i \leq e$  and  $1 \leq j \leq d$  by using the Leibniz rule. Furthermore, by using (4.5) and the fact  $\nabla^{(0)}(f_j) = 0$ , we can deduce that we have  $\nabla^{(0)}(f_j^{(*)}) = 0$  for all  $1 \leq j \leq d$ .

**(B)**  $\{f_j^{(*)}\}_{j=1}^d \in R[1/t] \otimes_R D_{e\text{-dif}}^+(V)$  is linearly independent over  $K_\infty^{(\text{pf})}$

By the presentation of  $f_j^{(*)}$ , we have

$$f_j^{(*)} = f_j + g_j \quad (g_j \in (t_1, \dots, t_e)(B_{\text{dR},K} \otimes_{\mathbb{Q}_p} V)).$$

Since  $\{f_j\}_{j=1}^d$  forms a basis of  $R[1/t] \otimes_R D_{e\text{-dif}}^+(V)$  over  $R[1/t]$ , it is, in particular, linearly independent over  $K_\infty^{(\text{pf})}$  ( $\subset R[1/t]$ ). Thus,  $\{\bar{f}_j = \overline{f_j^{(*)}}\}_{j=1}^d$  ( $\bar{\phantom{x}}$  denotes the reduction modulo  $(t_1, \dots, t_e)$ ) is linearly independent over  $K_\infty^{(\text{pf})}$  and we can see that  $\{f_j^{(*)}\}_{j=1}^d$  is linearly independent over  $K_\infty^{(\text{pf})}$  in  $R[1/t] \otimes_R D_{e\text{-dif}}^+(V)$ .

### (C) Conclusion

Therefore, on the  $K$ -vector space generated by  $\{f_j^{(*)}\}_{j=1}^d$ ,  $\log(\gamma)$  and  $\{\log(\beta_i)\}_{i=1}^e$  act trivially ( $\Leftrightarrow \nabla^{(0)}(f_j^{(*)}) = 0$  and  $\nabla^{(i)}(f_j^{(*)}) = 0$  for all  $1 \leq i \leq e$  and  $1 \leq j \leq d$ ). Thus, this means that  $\Gamma_K$  acts on this  $K$ -vector space via finite quotient and there exists a finite field extension  $L/K$  in  $K_\infty^{(\text{pf})}$  such that  $\{f^{(*)}\}_{j=1}^d$  forms a basis of  $D_{\text{dR},L}(V)$  over  $L$ . Since a potentially de Rham representation of  $G_K$  is a de Rham representation of  $G_K$ , this completes the proof.  $\square$

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